A Tutorial for TheoDORE 3.0

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1 Before Starting

1.1 Introduction

This tutorial is intended to provide an overview over the functionalities of the THEODORE program package. Various tasks of different complexity are discussed using interfaces to different quantum chemistry packages. It is advisable to go through the whole tutorial but it is of course possible to skip some of the later sections.

All input files are contained in the EXAMPLES directory in the THEODORE distribution. They are the same files accessed by the theo_test.bash program.

1.2 Notation

The following notation is used:

```
This kind of font indiates what is seen on the screen
and the command lines that you should write <ENTER> ! Comments come here
```

Important information related to THEODORE but not necessarily connected to the current job comes in boxes like this.

1.3 Installation

In case of using **bash** it should suffice to type

> source /mypath/TheoDORE_3.0/setpaths.bash ! replace /mypath with your actual installation

to set up the required THEODIR, PATH and PYTHONPATH environment variables.

For more information, see

https://theodore-qc.sourceforge.io/docs/installation.html

2 Overview

To get an overview over all tools implemented in THEODORE, simply run.

> theodore

_____ TheoDORE 3.0 Theoretical Density, Orbital Relaxation and Exciton analysis T Author: Felix Plasser Contributions by: L. Stojanovic, G. Hermann, S. Mai, M.F.S.J. Menger, P. Kimber References for the modules used Т - 1 (see also http://theodore-qc.sourceforge.net/literature.html) T Program citation: F. Plasser, J. Chem. Phys. (2020), 152, 084108. optional arguments: -h/--help | show this help message and exit Actions: options -----theoinp Input generation for TheoDORE
analyze_tden Transition density matrix analysis
analyze_tden_unr Transition density matrix analysis (UHF/UKS) analyze_tden_es2es Transition density matrix ana. (state-to-state) analyze_tden_es2esTransition density matrix ana. (state-to-state)analyze_tden_soc1TDM analysis for spin-orbit coupled statesanalyze_sdenState density matrix analysisanalyze_nosAnalysis of natural orbital (NO) filesparse_libwfaParse libwfa output from Q-Chem or OpenMolcasplot_vistRead NICS values and prepare VIST plotplot_omfragPlot Omega matrices as pseudocolor matrix plotplot_frag_decompPlot Omega matrices as bar graphsplot_graphGraph plotting for potential curves etc.plot_graph_nxGraph plotting (Newton-X)jmol_wosOrbital/density plotting in JmolymomentsPlotting of dipole and quadrupole momentsbaelOpenbabel wrapper - conversion of coordinate fi babel Openbabel wrapper - conversion of coordinate files

 babel
 Upenbabel wrapper - conversion of coordinate

 cc_opt
 Analysis of geom. opt. or relaxed scan

 cc_check
 Check if a logfile can be parsed with cclib

 extract_molden
 Extract hole/particle parts from Molden file

 spectrum
 Convoluted spectrum from analyze_tden output

 Extract hole/particle parts from Molden file spectrum Convoluted spectrum from analyze_tden output tden_ov Transition density matrix overlap convert_table Convert the output to latex/html table dgrid_prep Prepare input for DGrid Fragment charge difference analysis fcd

- The first part of the header shows the main information about the program and version.
- The second part provides literature references (these are adjusted specifically to the modules called).
- In the third part all possible scripts are shown. The main ones are theoinp for input generation; analyze_tden and analyze_sden to drive the analyses.

To obtain information about a specific script and its input arguments, run for example

> theodore analyze_nos

_____ TheoDORE 3.0 Theoretical Density, Orbital Relaxation and Exciton analysis Author: Felix Plasser Contributions by: L. Stojanovic, G. Hermann, S. Mai, M.F.S.J. Menger, P. Kimber _____ _____ References for the modules used (see also http://theodore-qc.sourceforge.net/literature.html) Program citation: F. Plasser, J. Chem. Phys. (2020), 152, 084108. _____ positional arguments: _____ no_files | List of NO files in Molden format optional arguments: _____ -h/--help | show this help message and exit -f/--ifile | Input file (optional) -r/--ref | Reference MO file for computing AO overlap matrix -o/--occ_fac | Multiply occupations with this factor -u/--unrestricted | Use if unrestricted orbitals are present; Default= | False, if set value=True -e/--rd_ene | Interpret energies as occupations; Default=False, | if set value=True

usage: /PhotoChem/programs/TheoDORE/Versions/TheoDORE_3.0/bin/theodore ... analyze_nos [-h] [-f ifile] [-r ref] [-o occ_fac

- The last line shows what the script does: "Analysis of natural orbital (NO) files"
- Arguments and keywords are shown above
- A summary of all scripts is given here: https://theodore-qc.sourceforge.io/docs/usage.html

3 Natural transition orbitals (Turbomole)

As a first step, we will plot the natural transition orbitals (NTOs) in the case of the formaldehyde dimer computed with RI-CC2 in TURBOMOLE.

3.1 Input generation

The input files are taken from the EXAMPLES directory in the THEODORE distribution

```
> cp -r $THEODIR/EXAMPLES/STANDARD/fa2.ricc2/QC_FILES/ fa2.tutorial
```

Inside the fa2.tutorial directory, run the input program

```
> theodore theoinp
Type of job (rtype):
           qcadc - Q-Chem ADC (libwfa output)
  ۲ I]
  [2]
          libwfa - General libwfa output
        qctddft - Q-Chem TDDFT
fchk - Q-Chem fchk file
  [3]
  [4]
  [ 5] colmcscf - Columbus MCSCF
 [6]
        colmrci - Columbus MR-CI (tden analysis)
  [7]
          rassi - Molcas RASSI
            nos - Read natural orbitals (Molden format) for sden analysis: Columbus, Molcas, ...
  [8]
         ricc2 - Turbomole ricc2
  [9]
  [10]
            escf - Turbomole escf
  [11] terachem - Terachem (TDDFT)
  [12]
           cclib - Use external cclib library: Gaussian, GAMESS, ...
  [13]
            orca - ORCA TDDFT (using a Molden file and cclib)
             adf - ADF (TDDFT)
  [14]
  [15]
          tddftb - DFTB+ - TDDFTB
         dftmrci - DFT/MRCI
onetep - ONETEP
  [16]
  [17]
Choice: [9] 9 <ENTER> ! theoinp tries to guess the program used according to the files present
Main file to read (rfile):
Choice (autocomplete enabled): [ricc2.out] <ENTER>
Read binary CCREO files? (read_binary):
Choice (y/n): [n] <ENTER> ! CCREO files are not available in this example.
! Otherwise binary files are recommended when available.
*** Warning: in the case of ricc2 you have to delete the line
      implicit core= x virt=
                                  х
     from the control file before running tm2molden.
 ! Everything works here but in general one should remember this when using ricc2
MO file (Molden format)
-> This file should ideally contain a square invertible coefficient matrix (mo_file):
Choice (autocomplete enabled): [molden.input] <ENTER>
Analysis of transition density matrices?
Choice (y/n): [y] <ENTER>
Perform CT number analysis?
Choice (y/n): [y] n <ENTER> ! Do not perform a charge transfer number analysis to keep things simple
```

Perform natural transition orbital (NTO) analysis? (comp_ntos): Choice (y/n): [y] <ENTER> Perform analysis of domain NTOs and conditional densities? (comp_dntos): Choice (y/n): [n] <ENTER> ! See section 7 NTOs as Jmol script? (jmol_orbitals): Choice (y/n): [y] y <ENTER> ! Type "y" if you have the JMOL program available NTOs in Molden format (molden_orbitals): Choice (y/n): [n] y <ENTER> ! Type "y" if you want files in MOLDEN format Use alpha/beta rather then negative/positive to code for hole/particle orbitals? (alphabeta): Choice (y/n): [n] n <ENTER> ! Only for special applications NTOs in Cube file format (requires orbkit) (cube_orbitals): Choice (y/n): [n] <ENTER> ! This option appears only when orbkit is installed Calculation of Particle/Hole density (requires orbkit)? (comp_p_h_dens): Choice (y/n): [n] <ENTER> ! Only with orbkit Calculation of transition densities between ground state and excited states (requires orbkit) (comp_rhoOn): Choice (y/n): [n] <ENTER> ! Only with orbkit Perform exciton analysis? Choice (y/n): [y] n <ENTER> Adjust detailed output options? Choice (y/n): [n] <ENTER> Name of input file

Choice: [dens_ana.in] <ENTER> Finished: File dens_ana.in written.

After going through these steps, the file dens_ana.in with the following content is written:

rtype='ricc2'
rfile='ricc2.out'
read_binary=False
mo_file='molden.input'
comp_ntos=True
comp_dntos=False
jmol_orbitals=True
alphabeta=False
cube_orbitals=False
comp_p_dens=False
comp_rhoOn=False
prop_list=['PRNTO', 'Z_HE']

To learn more about the available keywords check: https://sourceforge.net/p/theodore-qc/wiki/Keywords/

3.2 Transition density matrix (1TDM) analysis

To run the 1TDM analysis to produce the NTOs, simply type:

> theodore analyze_tden

state	dE(eV)	f	PRNTO	Z_HE !	label of the state / exc. energy / osc. strength / NTO participation ratio
					/ effective no. entangled states
1(1)a	4.174	0.000	1.943	2.000	
2(1)a	4.192	0.000	1.952	1.999	
3(1)a	7.944	0.000	1.849	1.937	
4(1)a	8.021	0.164	1.882	1.958	
5(1)a	8.755	0.000	1.991	2.012	
6(1)a	8.763	0.052	1.998	2.015	

After some technical information, you will find the following output summary

3.3 Plotting of the orbitals

If you selected to export files in MOLDEN format, one file for each individual state will be present

nto_1-1-a.mld nto_2-1-a.mld nto_3-1-a.mld nto_4-1-a.mld nto_5-1-a.mld nto_6-1-a.mld

You can visualize them with any program of your choice.

In the case of using JMOL a shortcut is available. To plot all the orbitals in one go, simply type

> jmol -n nto_jmol.spt

Then JMOL will create .png files for all the orbitals. To look at all these orbitals at once open the file nto.html in a browser, as shown in Fig. 1.

In both cases two NTO pairs are required to describe the transition, in agreement with $PR_{NTO} \approx 2$. This is a general observation for excitonic states in interacting chromophores, see Ref. [1] for further discussion and references.

Technical note: To get the precise pictures shown here, modify the nto_jmol.spt script

load molden.input FILTER "nosort"
mo titleformat ""
rotate x 90 ! add
rotate y 10 ! add
...

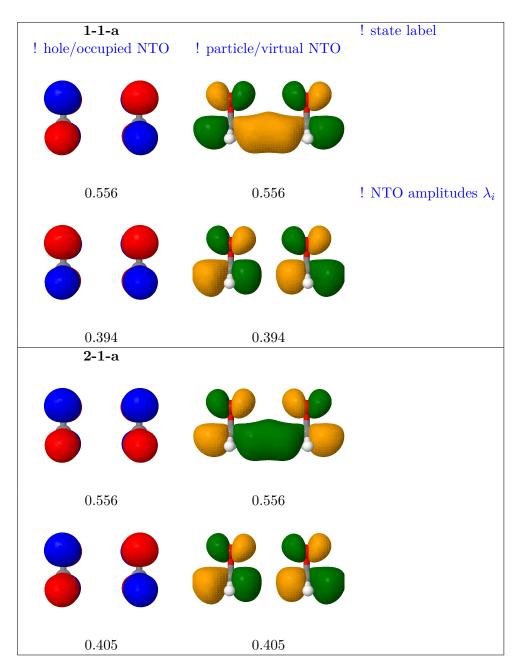


Figure 1: NTOs for the formaldehyde dimer.

4 Charge transfer number and exciton analysis (Turbomole)

In this part an analysis of the charge transfer numbers is carried out. This requires dividing the system into fragments, which are analyzed together. Choosing which atoms are grouped into individual fragments and how these fragments are arranged is the first critical step in the charge transfer number analysis. Special care has to be taken when choosing these fragments, and it is often necessary to try different settings.

4.1 Input generation

First, it is helpful to look at the molecule using a molecular structure editor (e.g. Avogadro) and display the atom lables.



Figure 2: Atom numbering in the formaldehyde dimer.

In the present simple case we want to divide our molecule into two fragments, one for each molecule. One fragment will contain the atom indices 1,3,5,7 the other one 2,4,6,8.

After deciding on the fragment definition run

> theodore theoinp

And start out the same as in Section 3.1. Then continue:

Perform CT number analysis? Choice (y/n): [y] y <ENTER> ! This time we want to do the CT number analysis Mode for specifying molecular fragments (at_lists): [1] Manual input [2] Automatic generation by fragment (using python-openbabel) [3] Automatic generation for transition metal complexes (using python-openbabel) [4] Mixed manual/automatic generation (using python-openbabel) [5] Automatic generation by element (using python-openbabel) [6] Leave empty and fill out later Choice: 1 <ENTER> ! We use "Manual input" here, for other options see Section 6 Input the indices of the atoms belonging to fragment 1: (separated by spaces) Choice: 1 3 5 7 <ENTER> ! Atom indices according to Figure 2

Input the indices of the atoms belonging to fragment 2: (separated by spaces) Choice: 2 4 6 8 <ENTER> Input the indices of the atoms belonging to fragment 3: (separated by spaces) Choice:<ENTER> ! Leave empty to quit Checking whether the at_lists definition is valid ... at_lists= [[1, 3, 5, 7], [2, 4, 6, 8]] 2 lists with individual numbers of entries: [4, 4] ! Two fragments with four atoms each 8 total entries, with maximal value 8 Formula for Omega matrix computation 0 - simple, 1 - Mulliken, 2 - Lowdin (Om_formula): Choice: [2] <ENTER> Omega descriptors to be computed: [1] Standard set [2] Transition metal complex [3] None Choice: [1] <ENTER> Print-out of electron/hole populations [1] None [2] For fragments [3] For fragments and individual atoms Choice: [1]1 <ENTER> ! for the symmetric case this analysis does not really help Perform natural transition orbital (NTO) analysis? Choice (y/n): [y] n <ENTER> ! already did that before ... Perform analysis of domain NTOs and conditional densities? (comp_dntos): Choice (y/n): [n] <ENTER> Calculation of transition densities between ground state and excited states (requires orbkit) (comp_rhoOn): Choice (y/n): [n] <ENTER> Perform exciton analysis? Choice (y/n): [y] <ENTER> ! Let's do the exciton analysis here, as well Compute approximate exciton size? Choice (y/n): [y] <ENTER> Adjust detailed output options? Choice (y/n): [n] <ENTER> Name of input file Choice: [dens_ana.in] <ENTER>

4.2 Transition density matrix (1TDM) analysis

Again run:

> theodore analyze_tden

Now, a more extended print-out is available:

state	dE(eV)	f	Om	POS	PR	CT	СОН	CTnt	RMSeh
- (-)						0.027 0.032			
- (-)						0.167			
- (-)						0.198			
						0.851 0.816			

The meaning of these values is discussed in Refs [2, 3] and at the documentation wiki. Only a brief explanation shall be given here:

- In the case of using ricc2 the first value $Om \text{ or } \Omega$ is just a normalization factor. In cases, where an exact 1TDM is available, this is the one-electron excitation character.
- The values POS=1.500 and PR=2.000 in all cases mean that the excitation is distributed evenly between fragment 1 and fragment 2 (for symmetry reasons)
- The crucial information lies in the CT value. $CT \approx 0$ for the first four excited states, meaning that these are mostly coupled local excitations (Frenkel excitons). For the last two states CT is greater than 0.8 indicating that these are charge resonance states.
- The trend in the CT values is also reflected by the (approximated) root-mean square electron-hole separation (RMSeh, also denoted \tilde{d}_{exc}) given in Å [5]. This value is is about equal to the intermolecular separation of 3.5 Å in the case of the charge resonance states while it is significantly smaller for the locally excited states.

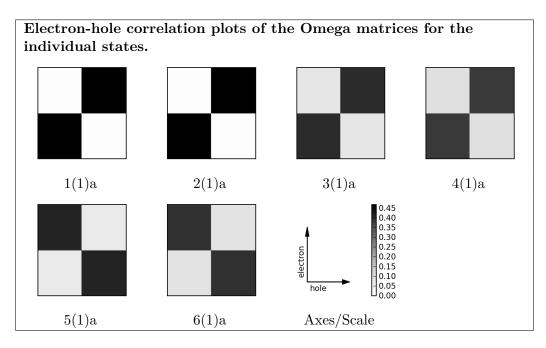
4.3 Electron-hole correlation plots

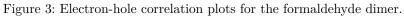
Electron-hole correlation plots are pseudocolor matrix plots representing the charge-transfer numbers Ω_{AB} . To create electron-hole correlation plots, run

> theodore plot_omfrag

Simply use all default values and then look at OmFrag.html in a browser (Fig. 3).

Here, the results are rather trivial since there are only two fragments in the calculation, which are equivalent for symmetry reasons. The locally excited (Frenkel) states are represented by black boxes on the main diagonal (going from lower left to upper right) while the charge resonance states are distinguished by off-diagonal contributions. Note, however, that distinguishing between Frenkel and charge resonance states without these tools is quite challenging.





5 Interface to the external cclib library (Gaussian 09)

GAUSSIAN, GAMESS, ORCA, and some other programs can be parsed through the cclib library [4]. cclib is included in the default installation. The present example also uses the pythonopenbabel package for automatic input generation (but you can also use the manual input mode from the previous example).

Start by copying the relevant files

> theodore cc_check gaussian.log

```
> cp -r $THEODIR/EXAMPLES/CCLIB/fa2.cclib/QC_FILES/ fa2.cclib.tutorial
```

5.1 Check the log file

When using cclib, one should start by checking whether the file can be parsed correctly

```
...
Essential attributes:
mocoeffs ... True
atombasis ... True
natom ... True
homos ... True
moenergies ... True
etenergies ... True
etsyms ... True
etsecs ... True
```

```
Optional attributes:
    etoscs ... True
    aooverlaps ... False
    mosyms ... True
Attributes for structure parsing and creation of Molden file:
    gbasis ... False ! gbasis is missing - no MOLDEN files
    natom ... True
    atomcoords ... True
    atomnos ... True
```

gaussian.log can be parsed by using rtype='cclib' in dens_ana.in.! this is the important part But conversion to Molden format is not possible

5.2 Input generation

As usual:

```
> theodore theoinp
```

```
Type of job (rtype):
           qcadc - Q-Chem ADC (libwfa output)
  [1]
          libwfa - General libwfa output
  [2]
         qctddft - Q-Chem TDDFT
fchk - Q-Chem fchk file
  [3]
  [ 4]
  [5] colmcscf - Columbus MCSCF
  [6]
        colmrci - Columbus MR-CI (tden analysis)
  [7]
          rassi - Molcas RASSI
            nos - Read natural orbitals (Molden format) for sden analysis: Columbus, Molcas, ...
  [8]
          ricc2 - Turbomole ricc2
  [9]
  [10]
            escf - Turbomole escf
  [11] terachem - Terachem (TDDFT)
  [12]
          cclib - Use external cclib library: Gaussian, GAMESS, ...
            orca - ORCA TDDFT (using a Molden file and cclib)
adf - ADF (TDDFT)
  [13]
  [14]
  [15]
          tddftb - DFTB+ - TDDFTB
         dftmrci - DFT/MRCI
onetep - ONETEP
  [16]
  [17]
Choice: 12 <ENTER>
Main file to read (rfile):
Choice (autocomplete enabled): gaussian.log <ENTER>
Note: If used in connection with ORBKIT it is preferable to have an externally generated Molden file.
Do you have an externally generated Molden file?
Choice (y/n): [n] <ENTER>
! If available a Molden file generated by Gaussian would be preferable but we do not need it here
Analysis of transition density matrices?
Choice (y/n): [y] <ENTER>
Perform CT number analysis?
Choice (y/n): [y] <ENTER>
Fragment definition for CT nubmer analysis
Mode for specifying molecular fragments (at_lists):
  [ 1] Manual input
  [ 2] Automatic generation by fragment (using python-openbabel)
  [ 3] Automatic generation for transition metal complexes (using python-openbabel)
  [ 4] Mixed manual/automatic generation (using python-openbabel)
  [ 5] Automatic generation by element (using python-openbabel)
```

[6] Leave empty and fill out later Choice:2 <ENTER> ! since there are two well-separated molecules, we can use the automatic mode Automatic generation of at_lists partitioning ... Coordinate file (coor_file): Choice (autocomplete enabled): gaussian.log <ENTER> ! simply take the log file Format of coordinate file (coor_format): Choice: g09 ! format, as recongized by openbabel The relevant formats are: GAUSSIAN - g03, g09 GAMESS - gamout

*** Fragment composition *** ! Check that everything worked Fragment 1: C H2 0 ! This looks reasonable: two formaldehyde molecules Fragment 2: C H2 O Checking whether the at_lists definition is valid ... at_lists= [[1, 3, 5, 7], [2, 4, 6, 8]] ! correct indices 2 lists with individual numbers of entries: [4, 4] 8 total entries, with maximal value 8 Formula for Omega matrix computation 0 - simple, 1 - Mulliken, 2 - Lowdin (Om_formula): Choice: [2] <ENTER> Omega descriptors to be computed: [1] Standard set [2] Transition metal complex [3] None Choice: [1] <ENTER> Print-out of electron/hole populations [1] None [2] For fragments [3] For fragments and individual atoms Choice: [1] 2 <ENTER> Perform natural transition orbital (NTO) analysis? Choice (y/n): [y] n <ENTER> ! no possibility to visualize them if MOLDEN export does not work Perform analysis of domain NTOs and conditional densities? (comp_dntos): Choice (y/n): [n] <ENTER> Calculation of transition densities between ground state and excited states (requires orbkit) (comp_rhoOn): Choice (y/n): [n] <ENTER> Perform exciton analysis? Choice (y/n): [y] <ENTER> Compute approximate exciton size? Choice (y/n): [y] <ENTER> Adjust detailed output options? Choice (y/n): [n] <ENTER> Name of input file Choice: [dens_ana.in] <ENTER>

THEODORE tutorial

Q-CHEM - qcout

Finished: File dens_ana.in written.

The following file dens_ana.in was created:

rtype='cclib'
rfile='gaussian.log'
coor_file='gaussian.log'
coor_format='log'
at_lists=[[1, 3, 5, 7], [2, 4, 6, 8]]
Om_formula=2
eh_pop=1
comp_ntos=False
comp_ntos=False
comp_ntos=False
prop_list=['Om', 'POS', 'PR', 'CT', 'COH', 'CTnt', 'RMSeh']

5.3 Transition density matrix (1TDM) analysis

Again run:

> theodore analyze_tden

The output looks similar as it did before only that at the TDDFT/PBE level the CT states are lower in energy and the separation between local and CT states is not as clear cut.

state	dE(eV)	f	Om	POS	PR	CT	COH	\mathtt{CTnt}	RMSeh
1SingA2	3.583	0.000	1.000	1.500	2.000	0.697	1.730	0.000	3.111
2SingB1	3.635	0.000	1.000	1.500	2.000	0.736	1.635	0.000	3.187
3SingB1	4.242	0.000	1.001	1.500	2.000	0.263	1.634	0.000	2.090
4SingA2	4.284	0.000	1.001	1.500	2.000	0.302	1.729	-0.000	2.202
5SingB2	7.793	0.011	1.001	1.500	2.000	0.956	1.091	0.000	3.531
6SingA1	7.851	0.001	1.000	1.500	2.000	0.991	1.019	0.000	3.589

6 Advanced fragment input and double excitations (Columbus)

The secure way for fragment definition is always the manual mode described in Section 3.1. In some cases, i.e. when the fragments of interest are separate molecules, one can use the option "Automatic generation from coordinate file" in theoinp. A more sophisticated method for automatic fragment definition is described in the next section. This method relies on the AVOGADRO molecular structure editor and the availability of the python-openbabel package.

This method is described in the next two sections. If you just wish to run the job without the input generation, copy the dens_ana.in file given at the bottom of Section 6.2.

Take the input files from the EXAMPLES directory in the THEODORE distribution

> cp -r \$THEODIR/EXAMPLES/STANDARD/hexatriene.colmrci/QC_FILES/ hexatriene.tutorial

6.1 Fragment preparation using Avogadro

First the geom file in COLUMBUS format has to be converted to the more common xyz format.

> theodore babel geom geom.xyz

This step is specific for COLUMBUS. In many other cases AVOGADRO can directly read the structure file or logfile.

This file can be opened with AVOGADRO

> avogadro geom.xyz

In AVOGADRO the following steps have to be performed (Figure 4)

- 1. Click the pen (draw tool)
- 2. Uncheck "Adjust Hydrogens"
- 3. Right-click the bonds that you wish to delete to divide the molecule into fragments
- 4. Save the file as geom.mol ! use a structure format with explicit bonds

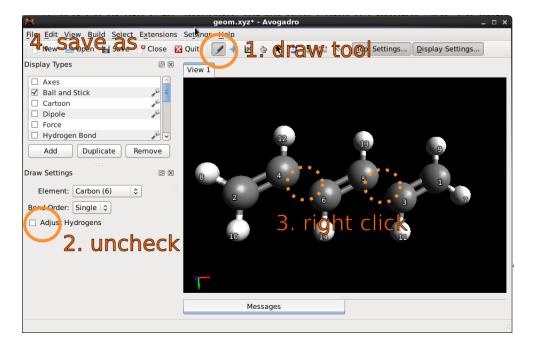


Figure 4: Fragment definition using AVOGADRO.

6.2 Input generation

Now run theoinp using the newly created geom.mol file as a template.

```
> theodore theoinp
Type of job (rtype):
  [ 1]
           qcadc - Q-Chem ADC (libwfa output)
          libwfa - General libwfa output
  [2]
         qctddft - Q-Chem TDDFT
fchk - Q-Chem fchk file
  ۲31
  [ 4]
       colmcscf - Columbus MCSCF
  [5]
         colmrci - Columbus MR-CI (tden analysis)
  [6]
  [7]
           rassi - Molcas RASSI
. . .
Choice: [6] <ENTER>
MO file (Molden format)
 -> This file should ideally contain a square invertible coefficient matrix (mo_file):
Choice (autocomplete enabled): [MOLDEN/molden_mo_mc.sp] <ENTER>
Analysis of transition density matrices?
Choice (y/n): [y] <ENTER>
Perform CT number analysis?
Choice (y/n): [y] y <ENTER>
Fragment definition for CT nubmer analysis
Mode for specifying molecular fragments (at_lists):
  [ 1] Manual input
  [ 2] Automatic generation by fragment (using python-openbabel)
  [ 3] Automatic generation for transition metal complexes (using python-openbabel)
  [ 4] Mixed manual/automatic generation (using python-openbabel)
  [5] Automatic generation by element (using python-openbabel)
  [ 6] Leave empty and fill out later
Choice: 2 <ENTER> ! use automatic generation if python-openbabel is available
Automatic generation of at_lists partitioning ...
Coordinate file (coor_file):
Choice (autocomplete enabled): [geom] geom.mol <ENTER> ! specify the newly created file
Format of coordinate file (coor_format):
Choice: [mol] <ENTER>
*** Fragment composition ***
 Fragment 1: C2 H3
 Fragment 2: C2 H3
 Fragment 3: C2 H2 ! the central C_2H_2 fragment is at the end ...
  ! ... his has to be changed (see below)
Checking whether the at_lists definition is valid ...
at_lists= [[1, 3, 7, 9, 11], [2, 4, 8, 10, 12], [5, 6, 13, 14]]
 3 lists with individual numbers of entries:
[5, 5, 4]
  14 total entries, with maximal value 14
Formula for Omega matrix computation
   0 - simple, 1 - Mulliken, 2 - Lowdin (Om_formula):
Choice: [2] <ENTER>
Omega descriptors to be computed:
 [ 1] Standard set
  [ 2] Transition metal complex
  [3] None
Choice: [1] <ENTER>
```

Print-out of electron/hole populations [1] None [2] For fragments [3] For fragments and individual atoms Choice: [1] 2 <ENTER> Perform natural transition orbital (NTO) analysis? (comp_ntos): Choice (y/n): [y] n <ENTER> Perform analysis of domain NTOs and conditional densities? (comp_dntos): Choice (y/n): [n] <ENTER> Calculation of transition densities between ground state and excited states (requires orbkit) (comp_rhoOn): Choice (y/n): [n] <ENTER> Perform exciton analysis? Choice (y/n): [y] n <ENTER> Were there frozen core orbitals in the calculation? Choice (y/n): [y] n <ENTER> ! for general COLUMBUS jobs frozen core orbitals would have to be specified here Adjust detailed output options? Choice (y/n): [n] <ENTER> Name of input file Choice: [dens_ana.in] <ENTER> Finished: File dens_ana.in written.

In the dens_ana.in file, it is necessary to adjust the fragment definitions in at_lists to make sure that the central C_2H_2 fragment is really in the middle. The file should look like this:

```
rtype="colmrci"
mo_file="MOLDEN/molden_mo_mc.sp"
coor_file="geom.mol"
coor_format="mol"
at_lists=[[1, 3, 7, 9, 11], [5, 6, 13, 14], [2, 4, 8, 10, 12]]
Om_formula=2
eh_pop=1
comp_ntos=False
comp_ntos=False
comp_ntos=False
comp_rto0n=False
prop_list=["Om", "POS", "PR", "CT", "COH", "CTnt"]
```

When using the automatic fragment definition, it is generally advisable to check the results using a graphical representation of the molecule (c.f. Figure 4) and to adjust things if necessary.

6.3 Transition density matrix (1TDM) analysis

As always:

> theodore analyze_tden

I2.1-2

Fragment	h+	e-	sum	diff
 C2 H3 C2 H2	0.12852 0.17536	0.11292 0.20658		0.01561 -0.03122

	0.43241	0.43241	0.86482	0.00000
 C2 H3	0.12852	0.11292	0.24144	0.01561

File ehFrag.txt with information about e/h populations written.

state	dE(eV)	f	Om	POS	PR	CT	СОН	CTnt
I1.1-2 I2.1-1 I2.1-2	6.530	1.254	0.865	2.000 2.000 2.000	2.897	0.617	2.861	0.000

The Ω value serves as a method-independent measure of double excitation character [3]. values close to one indicate single excitation character whereas $\Omega < 0.8$ shows (partial) doubly excited character. In the present case, the first and third states show predominant double excitation character ($\Omega < 0.5$). Note, that for low Ω values the 1TDM analysis does not provide a complete description and one might resort to the difference density matrix instead.

7 Fragment decomposition for a transition metal complex

A more compact representation for showing the different local and charge transfer contributions to an excited state has been worked out in Ref. [7]. For this example, we are going to use a small Ir complex with three bidentate ligands. First get the files from the EXAMPLES directory:

> cp -r \$THEODIR/EXAMPLES/EXTRA/ir_c3n3.qctddft/QC_FILES/ ir_c3n3.qctddft.tutorial

7.1 Input generation

```
Call > theodore theoinp
Type of job (rtype):
  [1]
           qcadc - Q-Chem ADC (libwfa output)
           libwfa - General libwfa output
  [2]
  F 31
         qctddft - Q-Chem TDDFT
 [4] fchk - Q-Chem fchk file
[5] colmcscf - Columbus MCSCF
Choice: [1] 3 <ENTER>
Main file to read (rfile):
Choice (autocomplete enabled): [qchem.out] <ENTER>
Did you run "state_analysis=True"? (read_libwfa):
Choice (y/n): [n] y <ENTER>
! We started with a state analysis (libwfa) in Q-Chem and are only post-processing results now.
Read TDA rather than full TDDFT results? (TDA):
Choice (y/n): [n] <ENTER>
Analysis of transition density matrices?
Choice (y/n): [y] <ENTER>
Perform CT number analysis?
Choice (y/n): [y] <ENTER>
Fragment definition for CT nubmer analysis
Mode for specifying molecular fragments (at_lists):
  [ 1] Manual input
  [ 2] Automatic generation by fragment (using python-openbabel)
  [ 3] Automatic generation for transition metal complexes (using python-openbabel)
  [ 4] Mixed manual/automatic generation (using python-openbabel)
  [ 5] Automatic generation by element (using python-openbabel)
  [ 6] Leave empty and fill out later
Choice: 3 < ENTER> ! For transition metal complexes, openbabel can automatically distinguish between the metal centre
                    and ligands provided you give the index of the metal centre.
! If openbabel is not activated, use option [6] and set up at_lists manually in dens_ana.in:
at_lists=[[1], [2, 6, 23, 7, 16, 15, 5, 14], [3, 12, 25, 13, 22, 20, 9, 21], [4, 19, 11, 18, 10, 17, 8, 24]]
Automatic generation of at_lists partitioning ...
Coordinate file (coor_file):
Choice (autocomplete enabled): [qchem.out] qchem.mol <ENTER>
Detected file type: mol
Format of coordinate file (coor_format):
Choice: [mol] <ENTER>
```

```
Input the index of the transition metal atom (or indices of the corresponding fragment)
Choice: 1 <ENTER> ! In this case Ir is atom 1 (you can check this in avogadro)
*** Fragment composition ***
 Fragment 1: Ir
 Fragment 2: C3 H4 N
 Fragment 3: C3 H4 N
 Fragment 4: C3 H4 N
Checking whether the at_lists definition is valid \ldots
at_lists= [[1], [2, 23, 6, 16, 7, 5, 15, 14], [3, 25, 12, 22, 13, 9, 20, 21], [4, 11, 19, 10, 18, 17, 8, 24]]
 4 lists with individual numbers of entries:
[1, 8, 8, 8]
 25 total entries, with maximal value 25
Omega descriptors to be computed:
  [ 1] Standard set
  [ 2] Transition metal complex
  [ 3] None
Choice: [1] 2 <ENTER> ! Use special descriptors for TM complexes
Print-out of electron/hole populations
  [ 1] None
  [ 2] For fragments
  [ 3] For fragments and individual atoms
Choice: [1] <ENTER>
Perform exciton analysis?
Choice (y/n): [y] <ENTER>
Compute approximate exciton size?
Choice (y/n): [y] <ENTER>
Molecular coordinates for exciton analysis:
Coordinate file (coor_file):
Choice (autocomplete enabled): [qchem.xyz] <ENTER>
Format of coordinate file (coor_format):
Choice: [xyz] <ENTER>
Parse exciton information from libwfa analysis?
Choice (y/n): [n] <ENTER>
Parse 1DDM exciton information from libwfa analysis?
Choice (y/n): [n] <ENTER>
Adjust detailed output options?
Choice (y/n): [n] <ENTER>
Name of input file
Choice: [dens_ana.in] <ENTER>
Finished: File dens_ana.in written.
```

7.2 Transition density matrix analysis and decomposition

Run > theodore analyze_tden

This gives the results for the first six excited states:

state	dE(eV)	f	Om	POSi	POSf	PR	СТ	MC	LC	MLCT	LMCT	LLCT	RMSeh
 S 1	 3.948	0.031	1.012	1.889	2.852	3.058	0.646	0.043	0.311	0.513	0.034	0.098	2.397
S_2	3.999	0.035	1.013	1.948	2.910	2.653	0.586	0.098	0.316	0.465	0.052	0.069	2.249
S_3	4.000	0.035	1.012	1.797	2.482	2.633	0.586	0.098	0.316	0.465	0.052	0.069	2.250
S_4	4.408	0.040	1.004	1.650	2.436	2.498	0.788	0.075	0.136	0.569	0.032	0.187	2.685
S_5	4.410	0.041	1.004	1.772	3.154	2.474	0.789	0.075	0.136	0.569	0.032	0.187	2.685
S_6	4.426	0.008	1.004	1.705	2.651	3.011	0.753	0.112	0.134	0.535	0.053	0.166	2.621
-													

The analysis shows that all states have around 50% of MLCT character and varying amounts of LC and LLCT admixture.

The results for each state can now be decomposed into contributions from local excitations, MLCT and LLCT.

 $Call > theodore plot_om_bars$ Name of the file with the Omega matrix entries (OmFfile): Choice (autocomplete enabled): [OmFrag.txt] <ENTER> Name of the file with the tden information (tdenfile): Choice (autocomplete enabled): [tden_summ.txt] ENTER> Width of the plot (cm) (width): Choice: [7.000000] <ENTER> Please enter the different excitation components to be plotted - leave empty to finish Name of component 1 (e.g. MLCT or AB) Choice: MLCT <ENTER> Color for plotting Choice: blue <ENTER> ! blue, green, yellow, red are available *** Fragment pairs belonging to MLCT *** Enter two indices between 1 and 4, separated by spaces Leave empty to finish Hole/electron indices for pair 1 Choice: 1 2 <ENTER> ! CT from metal to ligand 1 Hole/electron indices for pair 2 Choice: 1 3 <ENTER> ! CT from metal to ligand 2 Hole/electron indices for pair 3 Choice: 1 4 <ENTER> ! CT from metal to ligand 3 Hole/electron indices for pair 4 Choice: <ENTER> ! Leave empty to switch to the next component ... switching to next component. Name of component 2 (e.g. MLCT or A-B) Choice: LMCT <ENTER>

Color for plotting Choice: green <ENTER> *** Fragment pairs belonging to LMCT *** Enter two indices between 1 and 4, separated by spaces Leave empty to finish Hole/electron indices for pair 1 Choice: 2 1 <ENTER> ! CT from ligand 1 to metal Hole/electron indices for pair 2 Choice: 3 1 <ENTER> Hole/electron indices for pair 3 Choice: 4 1 <ENTER> Hole/electron indices for pair 4 Choice: <ENTER> ... switching to next component. Name of component 3 (e.g. MLCT or A-B) Choice: LC ! ligand-centred local excitations Color for plotting Choice: red <ENTER> *** Fragment pairs belonging to LC *** Enter two indices between 1 and 4, separated by spaces Leave empty to finish Hole/electron indices for pair 1 Choice: 2 2 <ENTER> ! Local excitation on ligand 1 Hole/electron indices for pair 2 Choice: 3 3 <ENTER> Hole/electron indices for pair 3 Choice: 4 4 <ENTER> Hole/electron indices for pair 4 Choice: <ENTER> ... switching to next component. Name of component 4 (e.g. MLCT or A-B) Choice: LLCT <ENTER> ! Ligand to ligand charge transfer Color for plotting Choice: yellow *** Fragment pairs belonging to LLCT *** Enter two indices between 1 and 4, separated by spaces Leave empty to finish Hole/electron indices for pair 1 Choice: 2 3 <ENTER> Hole/electron indices for pair 2 Choice: 2 4 <ENTER> ! We have contributions from each ligand to the two other ligands Hole/electron indices for pair 3 Choice: 3 2 <ENTER> Hole/electron indices for pair 4 Choice: 3 4 <ENTER> Hole/electron indices for pair 5

```
Choice: 4 2 <ENTER>

Hole/electron indices for pair 6

Choice: 4 3 <ENTER>

Hole/electron indices for pair 7

Choice: <ENTER>

... switching to next component.

Name of component 5 (e.g. MLCT or A-B)

Choice: <ENTER> ! Leave empty to finish

... component input finished.

File Om_bars.tex written.

-> Create plots using: pdflatex Om_bars.tex
```

As the end of the interactive script suggests, run: $> pdflatex Om_bars.tex$

Once this is finished, open the resulting pdf file using a suitable program e.g.:

```
> okular Om_bars.pdf
```

The results of the decomposition are as shown:

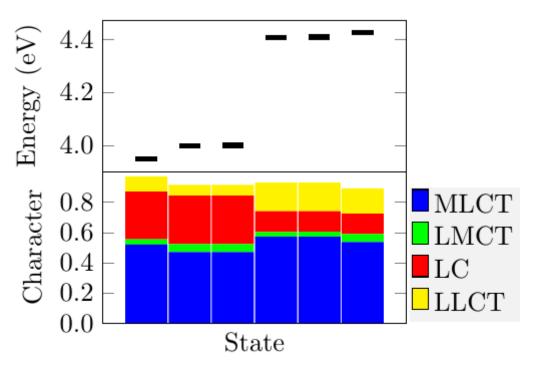


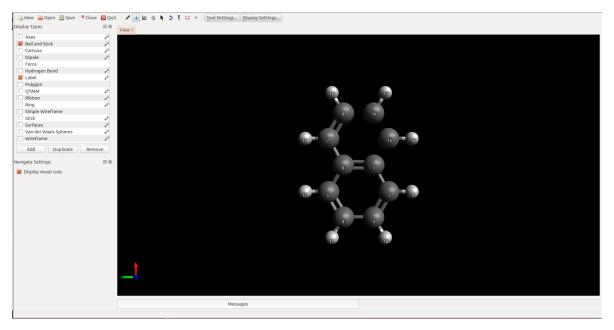
Figure 5: The first six excited states for the Ir complex decomposed into contributions from MLCT, LMCT and local excitations on ligands

8 Domain NTO and conditional density analysis

It is possible to visualise excited state correlation using THEODORE. This is done by plotting domain NTOs and conditional densities. The idea is the consider the excited state using an 'electron-hole' picture. A hole is fixed on a fragment of the molecule and the resulting conditional electron density is observed. Further explanation can be found in Ref [8]. This procedure generally works if it is possible to run analyze_tden.py and there is a Molden file with orbital information. Exporting the densities as cube files requires ORBKIT which is not installed by default but is available from https://github.com/felixplasser/orbkit.

Get the input files from the EXAMPLES directory > cp -r \$THEODIR/EXAMPLES/STANDARD/naphth.fchk/QC_FILES/ naphth.fchk.tutorial

From the tutorial folder, open the .xyz file with AVOGADRO, create fragments for the napthalene molecule and save it as a .mol file as done in Section 6.



In the present case, we want to separate two symmetry-unique CH groups from the remaining molecule. This allows us to view correlation effects between the individual atoms.

8.1 Input generation

```
Call > theodore theoinp
Type of job (rtype):
 [ 1]
            qcadc - Q-Chem ADC (libwfa output)
  [2]
         libwfa - General libwfa output
qctddft - Q-Chem TDDFT
  [3]
  [ 4]
            fchk - Q-Chem fchk file
 [5]
        colmcscf - Columbus MCSCF
         colmrci - Columbus MR-CI (tden analysis)
 [6]
. . .
Choice: [4] <ENTER>
Main file to read (rfile):
Choice (autocomplete enabled): [qchem.fchk] <ENTER>
Analysis of transition density matrices?
Choice (y/n): [y] <ENTER>
Perform CT number analysis?
Choice (y/n): [y] <ENTER>
Fragment definition for CT nubmer analysis
Mode for specifying molecular fragments (at_lists):
  [ 1] Manual input
  [ 2] Automatic generation by fragment (using python-openbabel)
  [ 3] Automatic generation for transition metal complexes (using python-openbabel)
  [ 4] Mixed manual/automatic generation (using python-openbabel)
  [ 5] Automatic generation by element (using python-openbabel)
  [ 6] Leave empty and fill out later
Choice: 2 <ENTER>
! If you do not have python-openbabel use [6] and the at_lists shown later.
Coordinate file (coor_file):
Choice (autocomplete enabled): [qchem.out] coord.mol <ENTER>
Detected file type: mol
Format of coordinate file (coor_format):
Choice: [mol] <ENTER>
*** Fragment composition ***
 Fragment 1: C8 H6
 Fragment 2: C H
 Fragment 3: C H
Checking whether the at_lists definition is valid ...
at_lists= [[1, 6, 2, 3, 9, 4, 10, 5, 11, 12, 7, 8, 13, 16], [14, 17], [15, 18]]
 3 lists with individual numbers of entries:
[14, 2, 2]
 18 total entries, with maximal value 18
Formula for Omega matrix computation
   0 - simple, 1 - Mulliken, 2 - Lowdin (Om_formula):
Choice: [2] <ENTER>
Omega descriptors to be computed:
 [ 1] Standard set
  [ 2] Transition metal complex
  [ 3] None
Choice: [1] <ENTER>
Print-out of electron/hole populations
  [1] None
  [ 2] For fragments
```

[3] For fragments and individual atoms Choice: [1] <ENTER> Perform natural transition orbital (NTO) analysis? (comp_ntos): Choice (y/n): [y] <ENTER> Perform analysis of domain NTOs and conditional densities? (comp_dntos): Choice (y/n): [n] y <ENTER> NTOs as Jmol script? (jmol_orbitals): Choice (y/n): [y] <ENTER> NTOs in Molden format (molden_orbitals): Choice (y/n): [n] <ENTER> NTOs in Cube file format (requires orbkit) (cube_orbitals): Choice (y/n): [n] y <ENTER> Create VMD Network for NTOs (vmd_ntos): Choice (y/n): [n] <ENTER> Calculation of Particle/Hole density (requires orbkit)? (comp_p_h_dens): Choice (y/n): [n] y <ENTER> Create VMD Network for p/h densities (vmd_ph_dens): Choice (y/n): [n] <ENTER> Compute conditional densities as cube files? 0 - no, 1 - hole, 2 - electron, 3 - both (comp_dnto_dens): Choice: [0] 1 <ENTER> ! We are choosing to fix the hole on each fragment ! and observe the resulting conditional electron density Write conditional densities to fchk file 0 - no, 1 - hole, 2 - electron, 3 - both (fchk_dnto_dens): Choice: [0] 1 <ENTER> ! Use this option if you want to view the result in IQMol Calculation of transition densities between ground state and excited states (requires orbkit) (comp_rhoOn): Choice (y/n): [n] <ENTER> Number of CPUs for orbkit calculations (numproc): Choice: [4] 2 <ENTER> ! naphthalene is relatively small - 2 CPUs should be enough Perform exciton analysis? Choice (y/n): [y] n <ENTER> Adjust detailed output options? Choice (y/n): [n] <ENTER> Name of input file Choice: [dens_ana.in] <ENTER> The following should be written to the dens_ana.in file: rtype='fchk' rfile='qchem.fchk' coor_file='coord.mol' coor format='mol' at_lists=[[1, 6, 2, 3, 9, 4, 10, 5, 11, 12, 7, 8, 13, 16], [14, 17], [15, 18]] Om_formula=2 eh_pop=0 comp_ntos=True comp_dntos=True jmol_orbitals=True molden_orbitals=False cube_orbitals=True vmd ntos=False

comp_p_h_dens=True
vmd_ph_dens=False
comp_dnto_dens=1
fchk_dnto_dens=1
comp_rhoOn=False
numproc=2
prop_list=['Om', 'POS', 'PR', 'CT', 'COH', 'CTnt', 'PRNTO', 'Z_HE']

8.2 Transition density matrix analysis

Now call: > theodore analyze_tden

TheoDORE writes cube files according to, for this three fragment example, the hole being on fragment 1, 2 or 3.

The following states are printed out:

state	dE(eV)	f	Om	POS	PR	CT	COH	CTnt	PRNTO	Z_HE
T_B3u_1	4.361		1.003	1.308	1.573	0.287	1.387	0.008	2.358	2.746
T_B3u_2	5.176	-	1.005	1.284	1.502	0.356	1.508	-0.004	2.134	2.352
S_B3u_1	5.401	0.000	1.004	1.284	1.503	0.358	1.514	0.003	2.115	2.314
S_B3u_2	7.360	1.724	1.018	1.286	1.510	0.309	1.433	0.003	2.314	3.047

8.3 Plotting of the orbitals

Using JMOL, the shortcuts used earlier are available to view the orbitals. Simply run:

> jmol -n dnto_hole_jmol.spt > jmol -n dnto_elec_jmol.spt

Then the results can be viewed by looking at the .html files in a browser.

A more compact representation is obtained by processing the cube files generated via VMD. Run: > theodore vmd_plots rho*.cb When prompted, do the following: Compute volume integrals over cube files for isovalues? (do_vol): Choice (y/n): [n] y <ENTER> Use special DNTO mode? (dnto): Choice (y/n): [n] y <ENTER> Volume integral for conditional density (iso1): Choice: [0.750000] <ENTER> Volume integral for probe density (iso2): Choice: [0.750000] <ENTER> VMD Material for conditional density (mat1): Choice: [AOShiny] <ENTER> VMD Material for probe density (mat2): Choice: [Glass1] <ENTER> Width of images in output html file (width):

```
Choice: [400] <ENTER>

Number of columns in the output html file (ncol):

Choice: [4] 4 <ENTER> ! This value should be one larger than the number of fragments you use

Adjust file names?

Choice (y/n): [n] <ENTER>
```

The files required for visualisation will be created. Now do the following:

> vmd coord.xyz

Within VMD:

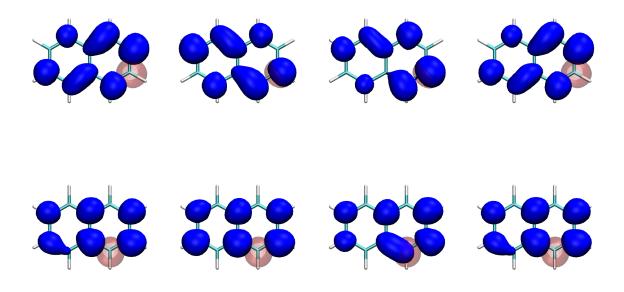
```
    File - Load Visualization State - load_all.vmd
    Adjust the perspective
```

3. File - Load Visualization State - plot_all.vmd

Close VMD and from call: > bash convert.bash

Finally open the images in a web browser > firefox vmd_plots.html

The key results you get for the naphthalene CH fragments as outlined in this tutorial are shown below. The red shading indicates the position of the hole.



You can also use PYMOL to visualise the conditional densities but note that you need to change the .cb file ending to .cube . Plots can be created via the QC_PYMOL toolkit: https://github.com/felixplasser/qc_pymol

9 Attachment/detachment analysis (Molcas - natural orbitals)

While the previous examples were focused on an analysis of the transition density matrices, TheoDORE can also analyze state- and difference-density matrices. These are most conveniently read in as natural orbital (NO) files in MOLDEN format. The utility script analyze_nos can be used as a shortcut for analyzing NO files.

Also standard RHF/UHF and DFT (RKS/UKS) orbitals can be analyzed with this mode. It is for example possible to compute the different density between a closed-shell RKS ground state and a UKS triplet or ionized state.

9.1 NO analysis

Get the input files

> cp -r \$THEODIR/EXAMPLES/STANDARD/fa2.rassi/QC_FILES/ fa2.rassi.tutorial

Then simply call

> theodore analyze_nos -u MOLDEN.1 MOLDEN.2 MOLDEN.3

The *-u* flag signifies unrestricted orbitals. Generally speaking the operation of analyze_nos depends on the correct specification of input flags. Call "theodore analyze_nos" without arguments to see a listing of the options.

MOLE		1							
	om		nu	nunl					
С	1	5.92413			! Gross po	pulation of	on the	atom, and two measures	for unpaired electrons
	2 3		0.10075	0.03601					
		8.35389		0.03601					
	-	0.00000	0.10000	0.00001					
		32.00002	0.40234	0.14493	! Total nu	mber of el	ectron	s / unpaired electrons	
MOLE	EN	J							
		state				att			
	1						! Also	attachment/detachment	populations
С	2	6.04465	0.44212	0.49412	0.03847	-0.15898			
0	3	8.28628	0.67712	0.71951	0.45914	-0.39152			
0	4	8.28628	0.67712	0.71951	0.45914	-0.39152			
• • •									
		32.00000							

Mulliken populations ! Analysis of the ground state

Valence information ! Valence analysis following Ref. [6] Bond order information ! Bond orders following Ref. [6] <at1>-<at2> : <bond order> MOLDEN.1 1=3 : 1.6330 ! Double bond between C=O 1-5 : 0.9229 1-7 : 0.9229 2=4 : 1.6330 2-6 : 0.9229 2-8 : 0.9229 MOLDEN.2 1-3 : 1.2048 ! Bond order reduced in excited state 1-5 : 0.9145 1-7 : 0.9145 2-4 : 1.2048 2-6 : 0.9145 2-8 : 0.9145

9.2 Plotting of the orbitals

In the case of using JMOL, you can use the automatic functionality for creating the natural difference orbitals (NDOs) or natural orbitals (NOs)

```
> jmol -n ndo_jmol.spt
```

The NDOs are in general similar to the NTOs, only that they also contain contributions from double excitations and orbital relaxation [3].

9.3 Alternative

It is also possible to perform the state-density analysis using

```
> theodore theoinp
```

and

> theodore analyze_sden

10 VIST plot for visualizing aromaticity

This section is still under construction but you can try running the basic commands.

Get the input files > cp -r \$THEODIR/EXAMPLES/UTILS/BCyc_VIST/QC_FILES BCyc_VIST.tutorial

10.1 Simple example

> theodore plot_vist -p -o simple.vmd neutral.log

10.2 More complicated example

> theodore plot_vist -c -v '0 4' neutral.log triplet.log 2M.log

11 Contact

If you have any questions about this tutorial or about the THEODORE program, please use the forum:

https://sourceforge.net/p/theodore-qc/discussion/bugs_questions/

You can also reach me via email: f.plasser at lboro.ac.uk

References

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